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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): HSU et al. Group Art Unit: 1614
Serial No.: 10/732,782 Examiner: : Unknown
Filed: December 10, 2003 Docket No.: 275.0007 0101
Confirmation No.: 6883
Title: CHEMOPREVENTIVE AND THERAPEUTIC ASPECTS OF POLYPHENOLIC COMPOSITIONS AND ASSAYS

Commissioner for Patents
Mail Stop Amendment
P.O. Box 1450
Alexandria, VA 22313-1450

We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ **Small entity status is entitled to be asserted in the above-identified application.**
☒ An itemized return postcard.
☐ A Petition for Extension of Time for month.
☒ An Information Disclosure Statement (2 pgs); 1449 forms (1 pg); Copy of International Search Report (3 pgs); and copy of 1 document cited on the 1449 forms.
☐ A check in the amount of \$__, representing ____.
☐ A certified copy of a __ application, Serial No. __, filed ____, the right of priority of which is claimed under 35 U.S.C. §119.
☐ Other:
☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$9 =	
Independent Claims				x \$43 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$145 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

CERTIFICATE UNDER 37 C.F.R. §1.8: The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, Mail Stop Amendment, P.O. Box 1450, Alexandria, VA 22313-1450, on this 3rd day of September, 2004.

MUETING, RAASCH & GEBHARDT, P.A.
Customer Number: 26813

By: Nancy A. Johnson
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(SMALL ENTITY TRANSMITTAL UNDER RULE 1.8)



PATENT
Docket No.275.0007 0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):	HSU et al.)	Group Art Unit:	1614
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Serial No.:	10/732,782)	Examiner:	Unknown
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For:	CHEMOPREVENTIVE AND THERAPEUTIC ASPECTS OF POLYPHENOLIC COMPOSITIONS AND ASSAYS			

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with C.F.R. §§ 1.97 *et. seq.*, the materials enclosed herewith are brought to the attention of the Examiner as possibly being of interest in connection with the above-identified patent application. Per M.P.E.P. § 609, the information cited in the present Information Disclosure Statement shall not be construed to be an admission that the information is, or is considered to be, material to patentability. Consideration of each of the documents listed on the attached 1449 form(s) is respectfully requested. As this patent application was filed after June 30, 2003 copies of the U.S. patents and U.S. patent application publications listed on the attached 1449 form(s) have not been submitted. Pursuant to the provisions of M.P.E.P. §609, Applicants further request that a copy of the 1449 form(s), marked as being considered and initialed by the Examiner, be returned with the next Official Communication.

It is believed that no fee is due, as this Information Disclosure Statement is filed prior to the receipt of any Action on the merits. However, in the event a fee is due, please charge any fee or credit any overpayment to Account No. 13-4895.

Information Disclosure Statement

Serial No. 10/732,782

Filed: December 10, 2003

CHEMOPREVENTIVE AND THERAPEUTIC ASPECTS OF POLYPHENOLIC COMPOSITIONS AND ASSAYS

The Examiner is invited to contact Applicants' Representatives at the below-listed telephone number, if they can be of any assistance during prosecution of the present application.

CERTIFICATE UNDER 37 C.F.R. 1.8:

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 9 day of Sept., 2004.

Sandy Truehart
Name: Sandy Truehart

September 3, 2004
Date

NAJ/sjt

Respectfully submitted for
HSU et al.

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INFORMATION DISCLOSURE STATEMENT	Atty. Docket No.: 275.0007 0101	Serial No.: 10/732,782
	Applicant(s): HSU et al.	Confirmation No.: 6883
	Application Filing Date: 12/10/03	Group: 1614
	Information Disclosure Statement mailed:	

**U.S. PATENT DOCUMENTS**

Examiner Initial	Copy Enclosed	Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
		6,025,480 A	02/15/00	MASSAGUE et al.			

FOREIGN PATENT DOCUMENTS

Examiner Initial	Copy Enclosed	Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	✓	96/31534 A1	10/10/96	WO				

OTHER DOCUMENTS (Including Authors, Title, Date, Pertinent Papers, etc.)

Examiner Initial	Document Description

EXAMINER	Date Considered
*Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	